

USPTO 7/1/03 1:41 PAGE 1/1 RightFax
TO: Auto-reply fax to 408-257-5550 COMPANY:

Auto-Reply Facsimile Transmission

UNITED STATES
PATENT AND
TRADEMARK OFFICE

TO:

Fax Sender at 408-257-5550

Fax Information

Date Received:

7/1/03 1:38:44 PM [Eastern Daylight Time]

Total Pages:

6 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received
Cover
Page

=====>

Sent By: IP Strategy Group; 408-257-5550; Jul-1-03 10:46AM; Page 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ASHHY

Serial No.: 09/897,593

Filed: June 29, 2001

Title: PROJECT DOCKET MANAGEMENT
APPARATUS AND METHOD

Group Art Unit: 3621

Examiner: P. E. Bliska

Docket: HAI-O-P005

CERTIFICATE OF FACSIMILE TRANSMISSION
I hereby certify that this correspondence is being faxed to
the Commissioner for Patents at 703-872-9326 on July 1,
2003. 6 PAGES TOTAL.Signed: David C. Ashby
David AshbySUPPLEMENTAL AMENDMENTMail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In supplemental response to the Office Action mailed May 19, 2003, Applicant submits the following Amendment and Remarks. The amendment here is limited to claims 1 and 6, where Applicant clarifies the nature of add, modify and read access based on the user's access level. Applicant had intended to make this amendment in the response file June 30, 2003, and apologizes for the oversight.

For the reasons set forth in the Amendment dated June 30, 2003, Applicant submits that the pending claims recite subject matter that is not taught or suggested by the references. Accordingly, Applicant requests that the Examiner reconsider and withdraw the rejections, and issue a notice of allowance. If any matters can be resolved by telephone, Applicant requests that the Patent and Trademark Office call the Applicant at the telephone number listed below.

IP Strategy Group (IPSG, P.C.)
10121 Miller Ave, Suite 201
Cupertino, CA 95014
Tel: 408-257-5500
Fax: 408-257-5550

Respectfully submitted,

By: David C. Ashby
David C. Ashby
Reg. No. 36,432

Received from 408-257-5550 on 7/1/03 1:38:44 PM Eastern Daylight Time

Best Available Copy

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ASHBY Serial No.: 09/897,593 Filed: June 29, 2001 Title: PROJECT DOCKET MANAGEMENT APPARATUS AND METHOD	Group Art Unit: 3621 Examiner: P. E. Elisca Docket: HALO-P005 CERTIFICATE OF FACSIMILE TRANSMISSION I hereby certify that this correspondence is being faxed to the Commissioner for Patents at 703-872-9326 on July 1, 2003. <u>6 PAGES TOTAL</u> Signed: <u>DAVID ASHBY</u> David Ashby
--	---

SUPPLEMENTAL AMENDMENT

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In supplemental response to the Office Action mailed May 19, 2003, Applicant submits the following Amendment and Remarks. The amendment here is limited to claims 1 and 6, where Applicant clarifies the nature of add, modify and read access based on the user's access level. Applicant had intended to make this amendment in the response file June 30, 2003, and apologizes for the oversight.

For the reasons set forth in the Amendment dated June 30, 2003, Applicant submits that the pending claims recite subject matter that is not taught or suggested by the references. Accordingly, Applicant requests that the Examiner reconsider and withdraw the rejections, and issue a notice of allowance. If any matters can be resolved by telephone, Applicant requests that the Patent and Trademark Office call the Applicant at the telephone number listed below.

IP Strategy Group (IPSG, P.C.)
10121 Miller Ave, Suite 201
Cupertino, CA 95014
Tel: 408-257-5500
Fax: 408-257-5550

Respectfully submitted,

By: DAVID ASHBY
David C. Ashby
Reg. No. 36,432

Best Available Copy

IN THE CLAIMS

Please amend the claims as follows:

1. (currently amended) A project docket management apparatus comprising:
a control structure including a processor configured to execute a control program and communicate with a user;
a memory coupled to the processor and configured to store a plurality of records associated with a project, and a plurality of records storing attributes including access levels associated with a plurality of users;
an authorization structure coupled to the processor and configured to selectively allow the users to log into the control structure and access the records to selectively add, ~~edit~~, modify and read delete project records depending on the users' access levels, the authorization structure including administrative access control that allows selectively granting access levels to project personnel; and
a communication structure coupled to the processor including a notification structure configured to periodically distribute information to project personnel based on predefined criteria including dates or activities.
2. (original) The apparatus of claim 1, wherein:
the authorization structure provides the ability for a user with an administrative access level to add, edit, modify and delete users and their respective attributes including access levels.
3. (original) The apparatus of claim 1, wherein:
the notification structure is configured to notify a predetermined group of users in advance of a predetermined deadline based at least in part on the users' attributes.
4. (original) The apparatus of claim 1, further comprising:
an approval structure coupled to the processor and configured to receive an approval from a client user regarding a predetermined task, and to notify appropriate project personnel to perform the predetermined task.
5. (original) The apparatus of claim 1, wherein:

the memory is configured to store documents along with attributes including a date stamp;
and

the communication structure is configured to electronically publish a document on the Internet and display the date stamp attribute associated therewith.

6. (currently amended) A project docket management apparatus comprising:

a control structure including a processor configured to execute a control program and communicate with a user;

a memory coupled to the processor and configured to store a plurality of records associated with a project, and a plurality of records storing attributes including access levels associated with a plurality of users;

an authorization structure coupled to the processor and configured to selectively allow the users to log into the control structure and access the records to selectively add, ~~edit~~, modify and read ~~delete~~ project records depending on the users' access levels; and

a communication structure coupled to the processor including a notification structure configured to periodically distribute information to project personnel based on predefined criteria including dates;

wherein the communication structure includes an electronic filing structure configured to electronically file a document with another computer system; and

wherein the memory is configured to store attributes of the document including a date stamp.

7. (original) The apparatus of claim 6, wherein:

the communication structure is configured to decode electronic communications to determine dates relevant to the communication; and

the control structure is configured to docket the dates.

8. (original) The apparatus of claim 7, wherein:

the notification structure is configured to notify a predetermined group of users in advance of a predetermined deadline based at least in part on the users' attributes.

9. (original) The apparatus of claim 7, further comprising:

an approval structure coupled to the processor and configured to receive an approval from a client user regarding a predetermined task, and to notify appropriate project personnel to perform the predetermined task.

10. (original) The apparatus of claim 9, wherein:

the notification structure is configured to notify a predetermined group of users in advance of a predetermined deadline based at least in part on the users' attributes.

11. (original) The apparatus of claim 1, wherein:

the control structure is configured to synchronize records with a remote computer by flagging downloaded records sent to the remote computer, and at a later time, comparing any uploaded records received from the remote computer, and reconciling the records.

12. (original) The apparatus of claim 11, wherein:

the notification structure is configured to notify a predetermined group of users in advance of a predetermined deadline based at least in part on the users' attributes.

13. (original) The apparatus of claim 1, further comprising:

a contract administrator structure configured to store agreed upon contract terms and an agreed upon a payment plan and deliverable plan between a buyer of a predetermined service and a seller of a predetermined service;

an escrow structure configured to record a deposit representing an amount of money in an escrow account, according to the agreed upon payment plan; and

wherein the escrow structure is configured to record a deliverable representing the seller performing the predetermined service, and when the deliverable is delivered to and approved by the buyer, to transfer from the escrow account the amount of money equal to the agreed upon payment plan.

14. (original) The apparatus of claim 13, wherein:

the communication structure is configured to decode electronic communications to determine dates relevant to the communication; and

the control structure is configured to docket the dates.

15. (original) The apparatus of claim 14, wherein:

the notification structure is configured to notify a predetermined group of project personnel in advance of predetermined deadlines.

16. (original) The apparatus of claim 14, further comprising:

an approval structure coupled to the processor and configured to receive an approval from a client user regarding a predetermined task, and to notify appropriate project personnel to perform the predetermined task.

17. (original) The apparatus of claim 16, wherein:

the notification structure is configured to notify a predetermined group of project personnel in advance of predetermined deadlines.

18. (original) A method of managing a project between a buyer and seller comprising the steps of:

the buyer and seller agreeing on a payment plan and deliverable plan;

the buyer depositing an amount of money in an escrow account, according to the agreed upon payment plan; and

the seller performing the predetermined service, and when the deliverable is delivered to and approved by the buyer, receiving from the escrow account the amount of money equal to the agreed upon payment plan;

the seller maintaining an electronic docket related to the project to insure that predetermined deadlines are met.

19. (original) The method of claim 18, further comprising the steps of:

the seller entering information related to the project into a server computer stored as records, where the server computer is accessible over a computer network;

the seller granting access to the buyer to view information related to the project; and

the buyer accessing the processor over the computer network to view status information related to the project.

20. (original) The method of claim 19, further comprising the steps of:
the buyer authorizing actions by the seller via a status information display generated by the server computer and communicated to the buyer over the computer network.
21. (original) The method of claim 19, further comprising the steps of:
the seller filing a document with another computer system via an electronic communication;
and
automatically decoding the electronic communications to determine dates relevant to the communication;
docketing the dates; and
automatically notifying the seller of the dates in advance.
22. (original) The method of claim 21, further comprising the steps of:
the buyer authorizing actions by the seller via a status information display generated by the server computer; and
communicating the authorization to the seller over the computer network.
23. (original) The method of claim 19, further comprising the steps of:
synchronizing records between the server computer and a remote computer by flagging downloaded records sent to the remote computer, and at a later time, comparing any uploaded records received from the remote computer, and reconciling the records.
24. (original) The method of claim 19, further comprising the step of:
notifying the seller in advance of a predetermined deadline.
25. (original) The method of claim 23, further comprising the step of:
notifying the seller in advance of a predetermined deadline.

HP LaserJet 3100
Printer/Fax/Copier/Scanner

SEND CONFIRMATION REPORT for
IP Strategy Group
408-257-5550
Jul-1-03 10:48AM

Job	Start Time	Usage	Phone Number or ID	Type	Pages	Mode	Status
423	7/ 1 10:46AM	2'22"	USPTO	Send.....	6/ 6	EC144	Completed.....

Total 2'22" Pages Sent: 6 Pages Printed: 0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ASHBY Serial No.: 09/897,593 Filed: June 29, 2001 Title: PROJECT DOCKET MANAGEMENT APPARATUS AND METHOD	Group Art Unit: 3621 Examiner: P. E. Elisca Docket: HALO-P005 CERTIFICATE OF FACSIMILE TRANSMISSION I hereby certify that this correspondence is being faxed to the Commissioner for Patents at 703-872-9326 on July 1, 2003. 6 PAGES TOTAL Signed: <u>David Ashby</u> David Ashby
--	--

SUPPLEMENTAL AMENDMENT

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In supplemental response to the Office Action mailed May 19, 2003, Applicant submits the following Amendment and Remarks. The amendment here is limited to claims 1 and 6, where Applicant clarifies the nature of add, modify and read access based on the user's access level. Applicant had intended to make this amendment in the response file June 30, 2003, and apologizes for the oversight.

For the reasons set forth in the Amendment dated June 30, 2003, Applicant submits that the pending claims recite subject matter that is not taught or suggested by the references. Accordingly, Applicant requests that the Examiner reconsider and withdraw the rejections, and issue a notice of allowance. If any matters can be resolved by telephone, Applicant requests that the Patent and Trademark Office call the Applicant at the telephone number listed below.

IP Strategy Group (IPSG, P.C.)
10121 Miller Ave, Suite 201
Cupertino, CA 95014
Tel: 408-257-5500
Fax: 408-257-5550

Respectfully submitted,

By: David C. Ashby
David C. Ashby
Reg. No. 36,432

Best Available Copy